



**The Nelson Mandela African Institution of Science and Technology (NM-AIST)**  
**Africa Centre for Research, Agricultural advancement,**  
**Teaching Excellence and Sustainability (CREATES)**

## **Interim Financial Report** **January - June, 2022**



**Statement of Uses of Funds for the semi-annual period ending 30th June, 2022**

	Descriptions		Period Ending 30th June, 2022	Cummulative for the Period Ending 30th June, 2022
	<b>Sources of Funds</b>		<b>Amount (USD)</b>	<b>Amount (USD)</b>
	Opening cash balance		1,352,860	1,352,860
				-
	Government Funds		-	-
	World Bank IDA Funds		-	-
	Other funds		15,798	15,798
	Refunds to SA/Retirements			-
	Credit			-
	<b>Total</b>		<b>1,368,657</b>	<b>1,368,657</b>
				-
	Add receipt			-
	Government Funds		-	-
	World Bank IDA Funds		-	-
	Student Fees (IUCEA)		-	-
	Refunds to SA/Retirements		2,488	2,488
	Credit		416,196	416,196
	ICTP Conference			-
	Others(EWA BELT PROJECT,ESIDA, OR TAMBO, COHESA)		104,192	104,192
	<b>Total Financing</b>		<b>1,891,533</b>	<b>1,891,533</b>
				-
	Less:Expenditure as per ACE Annual Impelmentation Plan			-
				-
	1:To achieve learning excellence		145,046	145,046
	2. Research Excellence		67,965	67,965
	3. Quality Assurance		7,176	7,176
	4. Equity dimension		12,338	12,338
	5. Attracting Regional Academic Staff and Students		2,309	2,309
	6. Engaging National and Regional Academic Partners		3,792	3,792
	7. Attracting National and Regional Sector Partners		18,956	18,956
	8. International academic collaboration		1,692	1,692
	9. Center Management and Governance		369,059	369,059
	10. Sustainable Financing		31,549	31,549
	11. Monitoring and Evaluation		24,420	24,420
	<b>Sub-total</b>		<b>684,302</b>	<b>684,302</b>
	Bank charges		1,408	1,408
	Transfers(Credit)		214,526	214,526
	Other project expenditure		61,442	61,442
	<b>Sub-total</b>		<b>277,376</b>	<b>277,376</b>
	<b>Total Expenditure</b>		<b>961,678</b>	<b>961,678</b>
	<b>Clossing Balance</b>		<b>929,855</b>	

	<b>Action Plan 3</b>	<b>3. Quality Assurance</b>		<i>(Component total for ACE leader)</i>		
	3.1	Support Training of NM-AIST Lab staff on ISO17025 (Testing Lab. Quality Management)	7,175.71	10,000	2,824	
		<b>Sub-Total</b>	<b>7,176</b>	<b>10,000</b>	<b>2,824</b>	
	<b>Action Plan 4</b>	<b>4. Equity dimension</b>		<i>(Component total for ACE leader)</i>		
	4.1	Sensitizing young girls and boys in secondary schools and colleges to participate in Sciences, Engineering, and Mathematics and Technology subjects ( in collaboration STEMi program)	9,346.24	12,000	2,654	
	4.2	Conducting training to women, special groups, youth and other small scale processors on food processing, value addition, packaging and marketing	2,991.31	4,000	1,009	
	4.3	Train inhouse students and faculty members on gender equit at workplace and student empowerment seminars		4,000	4,000	
	4.4	Support staff wellness program		10,000	10,000	
		<b>Sub-Total</b>	<b>12,338</b>	<b>30,000</b>	<b>17,662</b>	
	<b>Action Plan 5</b>	<b>5. Attracting Regional Academic Staff and Students</b>		<i>(Component total for ACE leader)</i>		
	5.1	Support Advertisement and promotion of NM-AIST MSc and PhD Programs to attract regional students	2,308.70	10,000	7,691	
	5.2	Support NM-AIST/CREATEs to participate in IUCEA/World Bank organized events to showcase institutional capacities and opportunities		5,000	5,000	
		<b>Sub-Total</b>	<b>2,309</b>	<b>15,000</b>	<b>12,691</b>	
	<b>Action Plan 6</b>	<b>6. Engaging National and Regional Academic Partners</b>		<i>(Component total for ACE leader)</i>		
	6.1	Support joint teaching, research and innovations among the National academic partners	3,791.52	4,000	208	
		<b>Sub-Total</b>	<b>3,792</b>	<b>4,000</b>	<b>208</b>	
	<b>Action Plan 7</b>	<b>7. Attracting National and Regional Sector Partners</b>		<i>(Component total for ACE leader)</i>		
	7.1	Support collaborations with National and Regional Sector Partners to establish and undertake joint researches and projects	3,112.00	5,000	1,888	
	7.2	To support participation of NM-AIST/Centre in knowledge sharing events and platforms such as MAKISATU,88,77,TCU e.t.c	15,844.43	22,500	6,656	
		<b>Sub-Total</b>	<b>18,956</b>	<b>27,500</b>	<b>8,544</b>	

	<b>Action Plan 8</b>	<b>8. International academic collaboration</b>		<i>(Component total for ACE leader)</i>		
	8.1	Support collaborations and partnerships with International Sector Partners to establish and undertake joint researches and projects	1,692.17	6,000	4,308	
		<b>Sub-Total</b>	<b>1,692</b>	<b>6,000</b>	<b>4,308</b>	
	<b>Action Plan 9</b>	<b>9. Center Management and Governance</b>		<i>(Component total for ACE leader)</i>		
	9.1	Developing Five years Centre Sustainability Plan	12,836.95	15,000	2,163	
	9.2	Off-set of Monthly Centre Management Team Salaries and Incentives and costs related to payleave for the centre staff	138,528.33	245,000	106,472	
	9.3	Support short term trainings to staffs to enhance their performance	21,115.08	21,500	385	
	9.4	Support procurement of office equipment, furnishing, softwares, office supplies repairing and maintainance of equipment	19,743.17	21,000	1,257	
	9.5	Covering cost for fuel expenses	7,425.16	12,500	5,075	
	9.6	Supply of Internet for the Centre	3,128.52	7,000	3,871	
	9.7	NM-AIST/Centres websites review and update		8,000	8,000	
	9.8	Maintenance and repair of the Centre vehicle	7,018.91	9,500	2,481	
	9.9	Advertise good and services to be procured by the Centre	4,856.41	5,000	144	
	9.10	Purchase of two vehicles (one for NM-AIST, one for Field)	116,071.00	200,000	83,929	
	9.11	Support staff and NSC members to participate in the National (NSC) and Regional Technical meetings (TAM)	13,866.05	15,000	1,134	
	9.12	To support Management meetings to discuss Centre Progresses	7,504.35	8,000	496	
	9.13	Support partitioning of old cafeteria into offices	16,964.94	18,000	1,035	
		<b>Sub-Total</b>	<b>369,059</b>	<b>585,500</b>	<b>216,441</b>	
	<b>Action Plan 10</b>	<b>10. Sustainable Financing</b>		<i>(Component total for ACE leader)</i>		
	10.1	Facilitate development of winning grant proposals (grants writing support, seed money identifying calls)	22,932.60	25,000	2,067	
	10.2	Facilitate Centre platform for undertaking Consultancies		25,000	25,000	
	10.3	Support CREATES/NM-AIST with seedfund to develop and conduct demand-driven short courses and international conferences on a cost-plus basis	8,616.25	9,000	384	
		<b>Sub-Total</b>	<b>31,549</b>	<b>59,000</b>	<b>27,451</b>	

	<b>Action Plan 11</b>	<b>11. Monitoring and Evaluation</b>		<i>(Component total for ACE leader)</i>		
	11.1	Operationalization of Centralized monitoring and evaluation e-system for all Centre activities		5,000	5,000	
	11.2	Preparing Annual financial statements		3,000	3,000	
	11.3	Preparing Internal audit reports	1,952.60	2,000	47	
	11.4	Supporting external Auditing costs (CAG)	2,150.92	8,000	5,849	
	11.5	Preparing Annual Procurement Audit Report (PPRA-Audit fee)	4,130.44	15,000	10,870	
	11.6	Conducting Centre Performance review and planning meeting/workshop	10,841.71	12,000	1,158	
	11.7	Preparing documentation and sharing of Centre success stories and achievement (Communications and Promotions materials newsletters, Calendar, TV and Radio programmes and audio visual documentary)	5,344.54	10,000	4,655	
		<b>Sub-Total</b>	<b>24,420</b>	<b>55,000</b>	<b>30,580</b>	
		<b>GRAND TOTAL</b>	<b>684,302</b>	<b>1,649,000</b>	<b>964,698</b>	

Expenditures as per Disbursement Linked Indicators					
Centre for Research Advancement, Teaching Excellence and Sustainability in Food and Nutrition Security					
(CREATES-FNS)					
January to June ,2021					
Disbursement Linked Indicators	Action to be Completed	Status of Action Completion	Amount Allocated	Amount Disbursed	Amount not Disbursed
<b>DLI # 1 INSTITUTIONAL READINESS</b>					
DLI 1.1 To meet conditions for Effectiveness	To meet Conditions for Effectiveness:	Completed	600,000	600,000	0
	The Performance and Finance Agreements executed				
	The National Steering Committee created				
DLI 1.2 Development Of Detailed Implementation Plans	Implementation plan approved by the Ministry of Education, Science, Technology and Vocational Training and accepted by the IUCEA/WB	Completed	500,000	500,000	0
<b>DLI #2: EXCELLENCE IN EDUCATION AND RESEARCH CAPACITY AND DEVELOPMENT IMPACT</b>					
DLR# 2.1: Timely annual implementation of the plans	At least 85% of activities implemented on timely manner	87 % of the planned activities implemented			
DLR #2.2: Newly enrolled students in the ACE of which at least 20% must be regional (African) students	At least 30% of PhD and Masters candidates enrolled are from the region	The following students were enrolled; :27 (3 Regional , 11Female)	1,300,000	974,196	325,804
	At least 40% of the PhD and Masters candidates are females	PhD students ( 43 MSc (6 Regional, 23 female)			
	Short courses	Short courses: 104 Participants (23Regional ; 81National) and ( Female 19; male 85)			
DLR#2.3: Accreditation of quality of education programs	• At least three PhD and three MSc programs approved by national accreditation body.	Stakeholders validation workshop was held and the	600,000	300000	300,000
	• At least one PhD and one Master program approved by international accreditation body	10 Draft curricula (5 MSc & 5 PhD) resubmitted to TCU for Accreditation			
DLR#2.4: Partnerships for collaboration in applied research and training collaboration	At least 8 MoUs are signed annually: 4 with academic institutions, 1 with industry / research institute and 3 with partner institutions	A total of 15 MoUs were signed ( 3 private companies & 13 Academic/ Research partners)	200,000	200,000	-
DLR#2.5: Peer-reviewed journal papers or peer-reviewed conference papers prepared collaboratively with national, regional or international co-authors	At least 20 internationally peer reviewed research articles co-authored by partners, published, annually	48 papers were published in peer reviewed journals:	500,000	500,000	-
DLR#2.6: Faculty and PhD student exchanges to promote regional research and teaching collaborations	At least 16 students with at least two week internship in a private sector company or a local institution or international institution	A total of 18 PhD students and faculty staff participated in exchange programme of at least two weeks for research and teaching activities	700,000	188,000	512,000
	At least 12 academic staff with at least two week internship in a private sector company or a institution or international institution				
DLR#2.7: External revenue generation	At least \$31,000 in year one, \$351,700 in year two, &722,700 in year three and \$602,700 in year four,		900,000		900,000
DLR#2.8: Institution participating in benchmarking exercise	CREATES-FNS participates in the Partnership of Applied Sciences, Engineering and Technology (PASET) benchmarking exercise.	The Centre submitted Institution data for PASET benchmarking exercise	100,000	100000	0
<b>DLI#3: TIMELY, TRANSPARENT AND INSTITUTIONALLY REVIEWED FINANCIAL MANAGEMENT</b>					
DLR#3.1: Timely Withdrawal applications supported by interim unaudited financial reports for each ACE	Timely Withdrawal applications supported by interim unaudited financial reports	Withdrawal Application was submitted by the CENTRES	75,000	30,000	45,000
DLR#3.2 : Functioning audit committee under each Eastern and Southern African Higher Education Institutions	The University Council Audit Committee meets at least four times in a year.	Three NM-AIST Audit Committee Quarterly meetings were held to discuss Audit findings	75,000	30,000.0	45,000
DLR#3.3: Functioning internal audit unit for each Eastern and Southern African Higher Education Institution	Project accounts audited annually and submitted to the Ministry and the IUCEA/WB within six months after the end of the financial year	Quarterly Audits were carried out by the NM-AIST Internal Audit Unit and findings were tabled to the Audit committee of the Institution	75,000	30,000.0	45,000
DLR#3.4: Transparency of financial management (audit reports, interim unaudited financial reports, budgets and Annual Work Programs are all web accessible)	All the audit reports, interim financial reports, budgets and annual work plan published on the university website	Centre Website was updated with information	75000	30,000.0	45000
<b>DLI#4: TIMELY AND AUDITED PROCUREMENT</b>					
DLR# 4.1 Timely procurement audit report for each ACE	Procurement audit report tabled in the Council Audit Committee on quarterly basis	Procurement Audited report was developed and tabled at the Council Audit Committee	150,000		150,000
DLR#4.2: Timely and satisfactory procurement progress report for each ACE	Procurements are done in accordance with an annual procurement plan, advertised and approved by the s of tender Board	Procurement done based on the annual plan developed	150,000		150,000
<b>GRAND TOTAL</b>			<b>6,000,000</b>	<b>3,482,196</b>	<b>2,517,804</b>